



# “Check Before You Invest”

**BEFORE** investing your money, ask the person/company who is offering services (legal, investment, insurance, financial, etc.) to complete this form and leave it with you.

## What product(s) are you offering? : (Check all that apply)

- |   |                                       |  |
|---|---------------------------------------|--|
| <input type="checkbox"/> Insurance      | <input type="checkbox"/> Annuities    | <input type="checkbox"/> Other: _____  |
| <input type="checkbox"/> Investment     | <input type="checkbox"/> Securities   | <input type="checkbox"/> Other: _____  |
| <input type="checkbox"/> Legal Services | <input type="checkbox"/> Living Trust | <input type="checkbox"/> Estate Planning <input type="checkbox"/> Other: _____ |
| <input type="checkbox"/> Financial      | <input type="checkbox"/> Accountant   | <input type="checkbox"/> Other: _____  |
| <input type="checkbox"/> Other: _____   |                                       |  |

## Who regulates or licenses this product/service?

<input type="checkbox"/> FL Office of Financial Regulation	<input type="checkbox"/> FL Dept. of Business & Professional Regulation
<input type="checkbox"/> FL Department of Financial Services	<input type="checkbox"/> FL Office of Attorney General
<input type="checkbox"/> Other (please identify/explain): _____	<input type="checkbox"/> The Florida Bar

## What license(s) do you hold that authorizes you to sell this product/service?

LICENSE TYPE	LICENSE INFORMATION
Insurance License	License No:
Securities License	CRD No:
Investment Advisor	License No: <input type="checkbox"/> Florida <input type="checkbox"/> SEC
Florida Bar License	State Bar No:
Accountant License	CPA No.
Real Estate License	DRE License No:
Other	Explain:

## Seller/Agent Information

Seller/Agent Name: \_\_\_\_\_

Company/Business Name: \_\_\_\_\_

Company/Business Address: \_\_\_\_\_



## “Check Before You Invest”

Helpful tips on how to become a more knowledgeable consumer.

Phone Number: \_\_\_\_\_

Today’s Date: \_\_\_\_\_

Once the information on the front side of this form has been filled out and left with you, call the appropriate agency to confirm that the person/company holds a valid license (i.e. is **both licensed and authorized** to sell/offer the product to you) **BEFORE** you **INVEST** your money with anyone.

Florida Office of Financial Regulation  
1-800-848-3792 [www.flofr.com](http://www.flofr.com)

Florida Department of Financial Services  
1-800-342-2762 [www.myfloridacfo.com](http://www.myfloridacfo.com)

Florida Department of Business & Professional Regulation  
1-850-487-1395 [www.myflorida.com/dbpr](http://www.myflorida.com/dbpr)

Florida Office of Attorney General  
1-866-966-7226 [www.myfloridalegal.com](http://www.myfloridalegal.com)

The Florida Bar  
1-850-561-5600 [www.floridabar.org](http://www.floridabar.org)

A knowledgeable consumer is a “safe” consumer. The “F.S.A.I.F.” Program (Florida Seniors Against Investment Fraud) offers safety through education! Don’t invest until you investigate and have completed the “Four C’s”:

**Call FSAIF First! 1-800-848-3792**

Consider all your options.

Compare the product to others.

Consult with someone you trust.