

**OFFICE OF FINANCIAL REGULATION  
FINANCE REGULATORY STATISTICS**

<b>APPLICATIONS RECEIVED</b>											
<b>Code</b>	<b>LICENSE TYPE</b>	<b>FISCAL YEAR</b>									
		<b>00-01</b>	<b>01-02</b>	<b>02-03</b>	<b>03-04</b>	<b>04-05</b>	<b>05-06</b>	<b>06-07</b>	<b>07-08</b>	<b>08-09</b>	<b>09-2010</b>
CCA	Consumer Collection Agency	222	258	314	358	316	312	357	357	450	473
CF	Consumer Finance	318	44	136	82	48	72	192	30	52	32
CL	Correspondent Mortgage Lender	385	481	588	788	888	1,024	864	389	240	60
CLB	Correspondent ML-Branch	519	545	779	1,266	1,631	1,589	1,481	451	500	212
COM	Commercial Collection Agency	24	32	28	39	28	32	40	28	46	55
HI	Home Improvement Finance Seller	47	46	27	29	27	32	33	42	74	13
HIB	Home Improvement-Branch	0	1	2	1	0	0	6	1	0	0
MB	Mortgage Broker	4,960	9,024	13,457	17,098	19,710	25,585	18,649	5,783	2,037	8,405
MBB	Mortgage Broker Business	926	1,117	1,217	1,606	2,214	2,628	2,361	1,024	682	369
MBBB	Mortgage Broker Business-Branch	94	89	156	225	350	415	361	109	52	23
MBS	Mortgage Business School								8	33	16
ML	Mortgage Lender	168	148	195	270	316	326	246	162	138	124
MLB	Mortgage Lender-Branch	455	514	1,591	1,353	1,458	1,403	1,472	445	503	549
MLS	Mortgage Lender Saving Clause	0	0	0	0	0	0	0	0	0	0
MLSB	Mortgage Lender Saving Clause-Branch								2	1	2
MLST	Transfer-Saving Clause Lender	10	2	5	2	12	8	7	0	2	2
MLTB	Transfer Saving Clause Lender-Branch	32	10	16	24	71	9	69	9	10	8
MV	Motor Vehicle Retail Seller	877	767	738	812	788	762	818	863	662	665
MVB	Motor Vehicle-Branch	89	27	67	53	71	44	109	42	40	17
RS	Retail Seller	877	673	796	911	977	991	825	616	370	233
RSB	Retail Seller-Branch	566	242	458	186	303	217	354	84	118	186
SF	Sales Finance Company	104	105	100	139	117	108	115	113	124	107
SFB	Sales Finance Company-Branch	274	59	103	82	43	59	45	20	4	6
	<b>TOTAL</b>	<b>10,947</b>	<b>14,184</b>	<b>20,773</b>	<b>25,324</b>	<b>29,368</b>	<b>35,616</b>	<b>28,404</b>	<b>10,578</b>	<b>6,134</b>	<b>11,557</b>
<b>APPLICATIONS APPROVED</b>											
<b>Code</b>	<b>LICENSE TYPE</b>	<b>FISCAL YEAR</b>									
		<b>00-01</b>	<b>01-02</b>	<b>02-03</b>	<b>03-04</b>	<b>04-05</b>	<b>05-06</b>	<b>06-07</b>	<b>07-08</b>	<b>08-09</b>	<b>09-2010</b>
CCA	Consumer Collection Agency	222	251	294	279	276	264	317	333	396	349
CF	Consumer Finance	228	136	125	76	43	64	95	29	18	19
CL	Correspondent Mortgage Lender	354	441	515	712	778	924	809	354	150	61
CLB	Correspondent ML-Branch	492	555	729	1,065	1,374	1,324	1,480	767	391	170
COM	Commercial Collection Agency	24	26	28	29	20	27	30	23	35	36
HI	Home Improvement Finance Seller	45	47	21	25	25	30	26	33	44	8
HIB	Home Improvement-Branch	0	1	1	2	0	0	0	0	0	0
MB	Mortgage Broker	3,422	6,588	10,396	12,453	13,228	16,733	13,571	5,301	1,236	3,616
MBB	Mortgage Broker Business	863	1,089	1,082	1,536	1,983	2,436	2,243	1,042	384	226
MBBB	Mortgage Broker Business-Branch	75	86	139	195	289	321	322	95	45	15
MBS	Mortgage Business School								2	18	11
ML	Mortgage Lender	150	131	164	234	256	280	225	142	66	76
MLB	Mortgage Lender-Branch	391	554	1,563	1,149	1,191	1,144	1,322	894	327	522
MLS	Mortgage Lender Saving Clause	0	0	0	0	0	0	0	0	0	0
MLSB	Mortgage Lender Saving Clause-Branch								2	1	2
MLST	Transfer-Saving Clause Lender	10	3	4	3	10	5	4	3	0	0
MLTB	Transfer Saving Clause Lender-Branch	27	14	16	16	67	7	37	52	10	8
MV	Motor Vehicle Retail Seller	853	742	707	754	657	725	776	813	563	524
MVB	Motor Vehicle-Branch	47	44	54	26	46	33	52	61	29	8
RS	Retail Seller	825	666	722	812	795	833	661	533	349	122
RSB	Retail Seller-Branch	571	246	431	178	229	226	251	163	111	183
SF	Sales Finance Company	96	101	90	136	98	90	93	107	86	84
SFB	Sales Finance Company-Branch	171	154	95	80	40	55	45	32	4	6
	<b>TOTAL</b>	<b>8,866</b>	<b>11,875</b>	<b>17,176</b>	<b>19,760</b>	<b>21,405</b>	<b>25,521</b>	<b>22,359</b>	<b>10,781</b>	<b>4,263</b>	<b>6,046</b>

**OFFICE OF FINANCIAL REGULATION  
FINANCE REGULATORY STATISTICS**

<b>ACTIVE LICENSEES</b>											
<b>Code</b>	<b>LICENSE TYPE</b>	<b>FISCAL YEAR</b>									
		<b>00-01</b>	<b>01-02</b>	<b>02-03</b>	<b>03-04</b>	<b>04-05</b>	<b>05-06</b>	<b>06-07</b>	<b>07-08</b>	<b>08-09</b>	<b>09-2010</b>
CCA	Consumer Collection Agency	791	852	866	905	1,025	1,045	1,102	1,160	1,242	1,310
CF	Consumer Finance	589	607	568	609	532	584	626	600	390	386
CL	Correspondent Mortgage Lender	1,394	1,732	1,943	2,608	2,995	3,781	3,878	3,797	1,963	1,636
CLB	Correspondent ML-Branch	869	1,097	1,268	2,084	2,595	3,356	3,101	2,568	1,179	888
COM	Commercial Collection Agency	91	94	97	102	112	126	135	135	151	152
HI	Home Improvement Finance Seller	321	367	171	197	138	168	135	167	134	142
HIB	Home Improvement-Branch	4	5	1	3	0	0	0	0	0	0
MB	Mortgage Broker	28,140	30,282	41,211	46,092	59,896	67,266	81,695	63,993	65,672	39,956
MBB	Mortgage Broker Business	3,717	4,652	4,547	5,959	6,789	8,952	9,497	9,937	4,571	4,508
MBBB	Mortgage Broker Business-Branch	234	294	365	507	615	849	803	693	325	296
MBS	Mortgage Business School								155	105	89
ML	Mortgage Lender	625	694	721	929	1,049	1,277	1,281	1,225	824	772
MLB	Mortgage Lender-Branch	1,112	1,353	2,304	3,053	3,088	3,639	3,320	2,654	1,857	2,036
MLS	Mortgage Lender Saving Clause	181	174	155	152	135	130	123	118	95	90
MLSB	Mortgage Lender Saving Clause-Branch								34	20	18
MLST	Transfer-Saving Clause Lender	66	65	59	59	60	64	58	56	39	35
MLTB	Transfer Saving Clause Lender-Branch	53	23	28	37	97	87	90	94	60	46
MV	Motor Vehicle Retail Seller	4,240	4,962	3,657	4,437	3,814	4,527	4,048	4,851	3,822	4,327
MVB	Motor Vehicle-Branch	225	264	210	231	198	231	226	276	158	163
RS	Retail Seller	5,498	6,152	3,483	4,311	3,787	4,610	3,882	4,404	3,169	3,289
RSB	Retail Seller-Branch	3,064	3,298	2,414	2,581	2,306	2,526	2,213	2,386	1,595	1,778
SF	Sales Finance Company	551	640	480	611	538	622	545	641	524	594
SFB	Sales Finance Company-Branch	516	541	501	544	487	521	525	499	305	284
	<b>TOTAL</b>	<b>52,281</b>	<b>58,148</b>	<b>65,049</b>	<b>76,011</b>	<b>90,256</b>	<b>104,361</b>	<b>117,283</b>	<b>100,443</b>	<b>88,200</b>	<b>62,795</b>
<b>RENEWALS AND REACTIVATIONS</b>											
<b>Code</b>	<b>LICENSE TYPE</b>	<b>FISCAL YEAR</b>									
		<b>00-01</b>	<b>01-02</b>	<b>02-03</b>	<b>03-04</b>	<b>04-05</b>	<b>05-06</b>	<b>06-07</b>	<b>07-08</b>	<b>08-09</b>	<b>09-2010</b>
CCA	Consumer Collection Agency	643	680	655	680	830	842	892	937	1,011	1,031
CF	Consumer Finance	496	1	542	0	523	1	569	0	388	0
CL	Correspondent Mortgage Lender	1,102	1	1,510	0	2,370	0	3,260	0	1,967	0
CLB	Correspondent ML-Branch	489	0	704	0	1,625	0	2,219	0	964	0
COM	Commercial Collection Agency	77	82	81	77	100	167	107	120	126	125
HI	Home Improvement Finance Seller	288	0	152	0	120	1	112	0	107	0
HIB	Home Improvement-Branch	4	0	0	0	0	0	0	0	0	0
MB	Mortgage Broker	493	24,324	551	31,025	913	51,943	744	56,413	3,510	33,554
MBB	Mortgage Broker Business	3,013	0	3,626	0	5,093	0	7,573	0	4,418	0
MBBB	Mortgage Broker Business-Branch	177	0	246	0	390	0	599	0	295	0
MBS	Mortgage Business School								0	52	43
ML	Mortgage Lender	535	0	594	0	858	0	1,138	0	830	0
MLB	Mortgage Lender-Branch	935	0	1,079	0	2,377	0	3,085	0	1,719	0
MLS	Mortgage Lender Saving Clause	186	0	161	0	146	0	125	0	99	0
MLSB	Mortgage Lender Saving Clause-Branch								0	22	0
MLST	Transfer-Saving Clause Lender	62	0	56	0	53	0	54	0	39	0
MLTB	Transfer Saving Clause Lender-Branch	42	0	16	0	30	0	60	0	51	0
MV	Motor Vehicle Retail Seller	3,645	6	3,203	0	3,460	13	3,509	0	3,496	3
MVB	Motor Vehicle-Branch	189	0	167	0	188	2	183	0	148	0
RS	Retail Seller	4,850	7	2,908	0	3,185	6	3,373	0	2,945	2
RSB	Retail Seller-Branch	2,654	7	2,038	0	2,255	153	2,010	0	1,516	1
SF	Sales Finance Company	508	1	427	0	484	1	489	0	466	1
SFB	Sales Finance Company-Branch	468	0	508	0	488	0	505	0	312	1
	<b>TOTAL</b>	<b>20,856</b>	<b>25,109</b>	<b>19,224</b>	<b>31,782</b>	<b>25,488</b>	<b>53,129</b>	<b>30,606</b>	<b>81,951</b>	<b>24,481</b>	<b>34,761</b>