

APPLICATION REQUIREMENTS FOR NOTIFICATION REGISTRATION

Section 517.082, Florida Statutes

Submit Application to:
Office of Financial Regulation
200 E. Gaines Street
Tallahassee, FL 32399-0375
Tel: (850) 410-9500
Fax: (850) 410-9748

- Filing Fee: \$1,000 - Check payable to: **Department of Financial Services**
- Notification Registration Application: OFR S-3-91 or Form U-1
- Copy of initial registration statement as filed with the S.E.C.
- Uniform Consent to Service: Form OFR S-5-91 or Form U-2
- Corporate Resolution: OFR S-6-91 or Form U-2A
- Written Notice of Effectiveness with the S.E.C. (within ten days of receipt)
- Copy of the Final Prospectus

NOTE: All securities shall be sold by a dealer registered with the Office or by the issuer registered as an issuer/dealer pursuant to s. 517.12, Florida Statutes. Additional information as required - The Office has the authority to request additional information as it deems necessary.

Form U-1, U-2, U-2A may be obtained from:

NASAA
750 First Street, N.E.
Suite 1140
Washington, DC 20002
Tel: (202) 737-0900
Fax: (202) 783-3571
<http://www.nasaa.org>