

**OFFICE OF FINANCIAL REGULATION  
SECURITIES OFFERINGS STATISTICS**

<b>NOTICE FILINGS</b>												
	<b>98-99</b>	<b>99-00</b>	<b>00-01</b>	<b>01-02</b>	<b>02-03</b>	<b>03-04</b>	<b>04-05</b>	<b>05-06</b>	<b>06-07</b>	<b>07-08</b>	<b>08-09</b>	<b>09-10</b>
Received	275	225	189	180	148	185	169	184	159	120	68	98
Approved	221	184	154	133	126	142	137	148	140	118	79	77
Withdrawn	83	58	52	41	26	22	45	30	36	76	17	6
Denied	2	1	18	1	4	0	0	0	0	0	0	0
<b>INTRA-STATE FILINGS</b>												
	<b>98-99</b>	<b>99-00</b>	<b>00-01</b>	<b>01-02</b>	<b>02-03</b>	<b>03-04</b>	<b>04-05</b>	<b>05-06</b>	<b>06-07</b>	<b>07-08</b>	<b>08-09</b>	<b>09-10</b>
Received	11	22	16	8	5	9	14	3	5	4	1	5
Approved	3	3	3	2	2	2	4	2	2	2	2	2
Withdrawn	9	13	17	10	2	1	14	3	1	3	1	1
Denied	0	0	0	0	2	0	0	0	0	0	0	0